State of Washington Capital Projects Advisory Review Board (CPARB) Project Review Committee (PRC) APPLICATION FOR RECERTIFICATION OF PUBLIC BODY RCW 39.10 Alternative Public Works Contracting – GCCM and/or DB

The CPARB PRC will consider recertification applications based upon agency's experience, capability, and success in undertaking Alternative Public Works Contracting utilizing the General Contractor/Construction Manager (GCCM) and/or Design-Build (DB) project delivery process. **Incomplete applications may delay action on your application**.

1. Identification of Applicant

- (a) Legal name of Public Body (your organization): University of Washington
- (b) Address: University Facilities Bldg., Box 352205 Seattle, WA 98195-2205
- (c) Contact Person Name and Title: John Palewicz, Director of Strategic Programs
- (d) Phone number: <u>206.543.5200</u> Fax: <u>206.543.1277</u> E-mail: <u>palewicz@uw.edu</u>
- (e) Effective Date of current Certification: _____ [GCCM] December 1, 2011 [DB]
- (f) This Re-Application is for $GCCM _ DB \times$

[Check one or both as applicable]

2. Experience and Qualifications for Determining Whether Projects Are Appropriate for GCCM and/or DB under Alternative Contracting Procedure(s) in RCW 39.10 (RCW 39.10.270 (3)(a)) Limit response to two pages or less.

If there have been any changes to your agency's processes addressing items (a) and (b) below, please submit the revised process chart or list.

- (a) The steps your organization takes to determine that use of GCCM and/or DB is appropriate for a proposed project; and
- (b) The steps your organization takes in approving this determination.

Include and describe any such process *changes* since your original certification (and reasoning for same) to your determination process based upon your experience to date in utilizing the delivery method(s)

There have been no changes to the University's process in determining whether projects are appropriate for alternative public works using DB.

3. Project Delivery Knowledge and Experience (*RCW 39.10.270 (3)(b)(i)*) Limit response to four pages or less. [See Attachment 3]

Please describe your organization's experience in delivering projects under Alternative Public Works in the past three years and summarize how these projects met the statutes in RCW 39.10.

- (a) Include the status of each alternative delivery project [planned, underway, or complete, dates, and projected/determined construction cost].
 DESCRIBE any LITIGATION AND SIGNIFCANT DISPUTES ON any Alternative Delivery Project since PREVIOUS certification.
- 4. Personnel with Construction Experience Using the Alternative Contracting Procedure(s) [See Attachments 4a, 4b, & 4c, 4d] [RCW 39.10.270 (3)(b)(ii)]

Please provide an updated matrix/chart showing changes in your agency's personnel with management and construction experience using the alternative contracting procedure(s) since **PREVIOUS** certification. Provide a current organizational chart and highlight changes since **PREVIOUS** certification.

5. Resolution of Audit Findings on Previous Public Works Projects (*RCW* 39.10.270 (3)(c).) (*Limit Response to one page or less.*)

If your organization had audit findings on <u>any</u> public works project since the **PREVIOUS** certification application, please specify the project, briefly state those findings, and describe how your organization is resolving them.

There have been no audit findings since 2009.

6. Project Data Collection [See Attachment 6]

Please provide a matrix listing of all projects with a total value of greater than \$5 million with a design agreement or design-build agreement in place **as of July 1, 2005**. This list shall also include projects within the public body's capital plan **projected** for the next three (3) years.

- Project Title
- Agency's Project Number
- Project Value
- Delivery Method [DBB, DB, or GCCM- either actual or as-planned]
- Whether or not project data has been entered into the CPARB Data Collection System? (RCW 39.10.,320 and .350) [Yes or No; if No, why not?]
- Is the project complete [Yes or No]

Signature of Authorized Representative

In submitting this application, you, as the authorized representative of your organization, understand that the PRC may request additional information about your organization, its construction history, and the experience and qualifications of its construction management personnel in order to adequately evaluate recertification under RCW 39.10. You agree to submit such information in a timely manner and understand that failure to do so shall render your application incomplete.

Should the PRC approve your request for recertification you agree to continue to provide data on such projects in accordance with RCW 39.10 data collection criteria covering the complete history of each of these construction projects. You understand that this information is being used in a study by the State to evaluate the effectiveness of the alternative contracting procedure(s). Additionally, you understand that should this recertification be approved it is only valid for one additional three year period beyond your current certification expiration and that re-certification must be applied for under RCW 39.10.

Signature: <u></u>

Name: (please print) John Palewicz

Title: Director of Strategic Programs

Date: 25 August 2014

3. Project Delivery Knowledge and Experience (*RCW* 39.10.270 (3)(b)(i))

Project Name

Project Status Substantial

Budget

Completion

Performance Characteristics

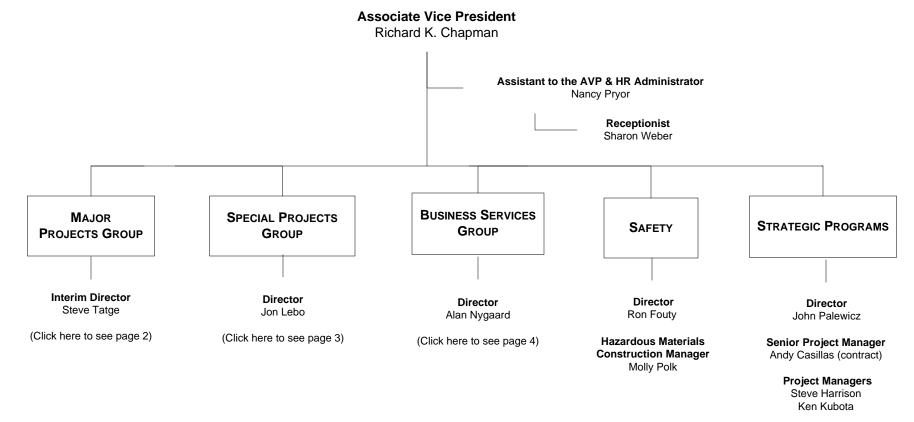
Describe any issues w/ cost, schedule, and/or quality, disputes, claims, delivery method change in mid-project, team performance, lessons learned.

Animal Research and Care Facility	Underway	Apr-17	\$124.4	No significant issues.
Dempsey Hall (Balmer)	Completed	Mar-12	\$ 46.3	No significant issues.
Denny Hall Renovation	Underway	Aug-16	\$ 52.89	No significant issues.
Fluke Hall	Underway	TBD	\$ 38.0	Project restarted after being on hold.
Housing - Mercer Hall Replacement	Completed	Aug-13	\$118.0	No significant issues.
Housing - Maple and Terry Halls	Underway	Jun-15	\$133.0	No significant issues.
Housing - Phase 1 [Cedar, Elm, Poplar, &	Completed	Jun-13	\$147.7	No significant issues.
Alder Halls]				
HUB Renovation/	Completed	Aug-12	\$128.3	No significant issues.
Expansion				
Husky Ball Park	Completed	Mar-14	\$ 19.5	No significant issues.
J Wing	Completed	Apr-11	\$ 24.0	No significant issues.
Housing - Lander Hall Replacement	Completed	Nov-13	\$ 77.0	No significant issues.
Life Sciences Building	Underway	Jun-18	\$160.5	No significant issues.
Molecular Engineering	Completed	Jul-12	\$ 77.7	No significant issues.
Montlake Triangle	Underway	Jun-15	\$ 25.3	No significant issues.
Nano Engineering Research Building	Underway	Sep-16	\$ 53.0	
Odegaard Undergraduate Learning	Completed	May-13	\$ 16.9	No significant issues.
Center				
UW Police Department Facility	Underway	Jun-16	\$ 19.5	No significant issues.
UW Bothell Phase 3	Completed	Mar-14	\$ 68.0	No significant issues.
UW Bothell SAC	Underway	Jul-15	\$ 18.85	No significant issues.
UW Tacoma Phase 3	Completed	Aug-12	\$ 54.3	No significant issues.
UW Tacoma University YMCA Student	Underway	Jan-15	\$ 20.0	No significant issues.
Center				
UW Tacoma Urban Solutions Center	Underway	Jun-16	\$ 19.9	No significant issues.
UW Tacoma Tioga and McDonald Smith				
Renovation	Underway		\$ 5.0	No significant issues.

3. Project Delivery Knowledge and Experience (RCW 39.10.270 (3)(b)(i))

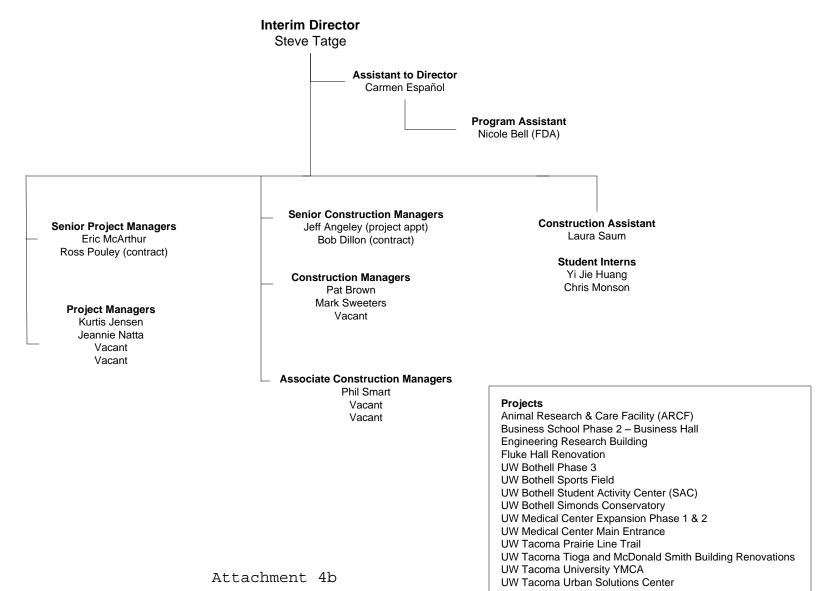
Project Name	Project Status	Substantial Completion	Budget	Performance Characteristics Describe any issues w/ cost, schedule, and/or quality, disputes, claims, delivery method change in mid-project, team performance, lessons learned.
UWMC Expansion Ph 2 - Montlake Tower	Underway	Jun-17	\$186.3	No significant issues.
UWMC Expansion PH 1	Completed	Jul-12	\$210.3	No significant issues.
West Campus Utiltiy Plant	Underway	Feb-17	\$ 30.5	No significant issues.

CAPITAL PROJECTS OFFICE Executive Management Team

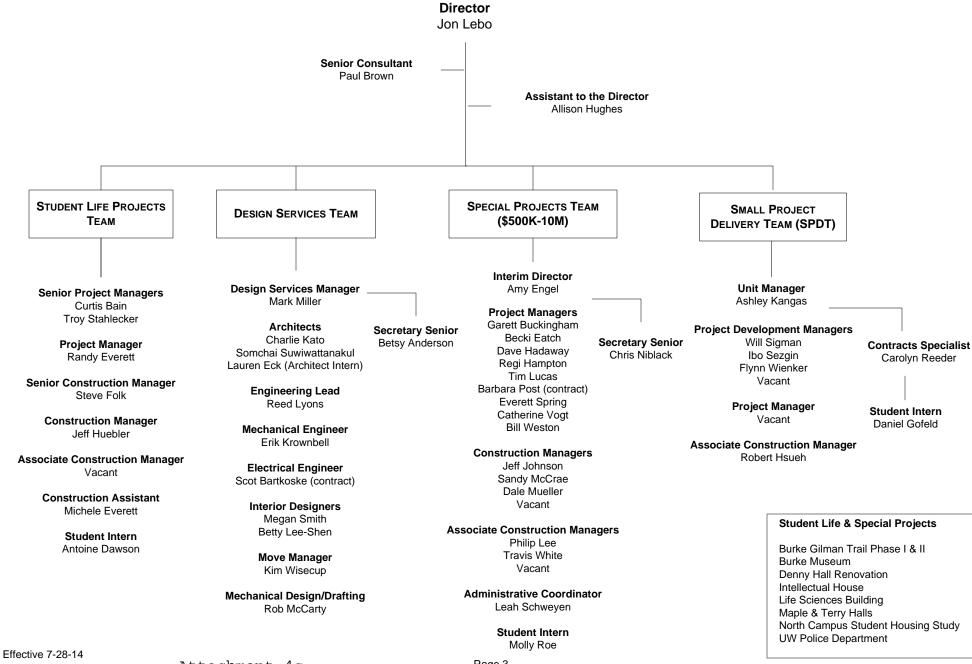


Senior Construction Manager Don Romain

CAPITAL PROJECTS OFFICE Major Projects Group



CAPITAL PROJECTS OFFICE Special Projects Group



Attachment 4c

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4. Personnel with Construction Experience Using The Design Build Procedure. [Please see attachments 4a, 4b, 4c for our current organizational charts.]

			Project	Project	Role during	e during Project Phase				
Name	Summary of Experience	Project	Size	Туре	Planning	Design	Constr	Role Start	Role End	
Richard Chapman	Associate Vice President, CPO with 37 years private industry experience in design and construction. 13 years UW experience.	Projects included in list below								
	Senior Project Manager with over 35 years industry experience in design and construction. 9years at UW. PM	Benjamin Hall Core & Shell + tenant improvements	\$ 60M	DBOM	PM	PM	PM/CM	Jan-03	Sep-14	
Andy Casillas	for Ben Hall Interdisciplinary building (DBOM) and subsequent tenant improvements (11). Assisted in	for Ben Hall Interdisciplinary building (DBOM) and subsequent tenant improvements (11). Assisted in	Educational Outreach	\$ 17M	DB		CM	СМ	Apr-06	9/7/2007. Cancelled.
	establishing the design-build ESCO program at UW.	Montlake Triangle Project	\$ 18.8M	DB	PM			Oct-09	Jul-15	
	Associate Director with over 20 years of private industry experience and 10 years of public works experience. Responsible	Harborview Hall Deconstruction	\$ 6.5M	DB	Assoc Dir	Assoc Dir	Assoc Dir	11/10/2014	11/10/2014	
Amy Engel	for projects less than \$10M, specializing in medical, lab,	Friday Harbor Labs Energy Conservation	\$ 1.3M	ESCO			Assoc Dir	10/1/2013	6/23/2014	
	infrastructure upgrades and classroom improvements.	Physics Astronomy Lab Ventilation	\$ 2.3M	ESCO			Assoc Dir	10/1/2013	6/24/2014	
	Project Manager with over 30 years of private industry experience and 6 years of public works experience. Completed	Harborview East Chiller and West Cooling Tower Replacement	\$ 1.0M	ESCO	PM	PM	PM	4/24/2012	8/20/2013	
Dave Hadaway	projects ranging from \$35M to \$100,000.	Harborview East Chiller Replacement	\$ 1.0M	ESCO	PM	PM	PM	4/24/2014	7/23/2013	
	· · ·	Harborview EF36/37 Replacement	\$ 1.0M	ESCO	PM	PM	PM	6/5/2012	8/20/2013	

Project Project						Role during Project Phase						
Name	Summary of Experience	Project	Size	Туре	Planning	Design	Constr	Role Start	Role End			
		Friday Harbor Labs Energy Conservation	\$ 1.3M	ESCO	РМ	PM	PM	2/27/2012	6/23/2014			
		Physics Astronomy Lab Ventilation	\$ 2.3M	ESCO	PM	PM	PM	9/17/2012	6/24/2014			
			\$ 1.0M	ESCO	GM	GM	GM	4/24/2012	8/20/2013			
Ashley Kangas	Group Manager with over 15 years industry experience and 10 years of public works experience.	Replacement Harborview East Chiller Replacement	\$ 1.0M	ESCO	GM	GM	GM	4/24/2012	7/23/2013			
Project Manager for projects ranging from \$35k to \$2.7M.		Harborview EF36/37 Replacement	\$ 1.0M	ESCO	GM	GM	GM	6/5/2012	8/20/2013			
		Triangle Parking Garage	\$ 89K	ESCO	PM			3/3/2008	9/16/2008			
Ken Kubota	Project Manager at the UW CPO since 2007. Principal/Owner of Architects Kubota Kato Chin for 18 years.	, Husky Ballpark	\$ 13.5M	DB	PM- Architect for RFP	PM- Architect	PM- Architect	Sep-10	On-going			
Jon Lebo	Director with 26 years experience in design and construction management all at the UW. Licensed architect with 17 years experience.	Educational Outreach	\$ 17.0M	DB		Grp Mngr	Grp Mngr	Sep-05	11/1/2007 [Project cancelled.]			
Jeannie Natta	Project Manager/ Construction Manager, UW Capital Projects, 2010 to Present.	UW Tacoma, Tioga and McDonald Smith Building Renovations	\$ 5.0M	DB	PM	PM	PM	Jun-14	On-Going			

4. Personnel with Construction Experience Using The Design Build Procedure. [Please see attachments 4a, 4b, 4c for our current organizational charts.]

				.	Role during	e			
Name	Summary of Experience	Project	Project Size	Project Type	Planning	Design	Constr	Role Start	Role End
	Director with 15 years experience	Husky Ballpark	\$ 13.5 M	DB	Dir	Dir	Dir	Jun-10	Jun-12
John Palewicz	at UW including 15 large scale projects. Architect with 20 years	Montlake Triangle Project	\$ 18.8 M	DB	Dir	Dir		Oct-09	Jul-15
	Interim Director with 10 years experience at UW. Architect with	UW Tacoma - Y	\$ 20.0M	DB	PM	PM	Dir	Feb-13	On-Going
Steve Tatge	13 years experience and 2 years each as a private corporation's	UW Tacoma Tioga McDonald & Smith Bldg Renovations	\$ 5.0M	DB	Dir	Dir	Dir	Apr-14	On-Going

Please provide a matrix listing of all projects with a total value of greater than \$5 million with a design agreement or design-build agreement in place as of July 1, 2005. This list shall also include projects within the public body's capital plan projected for the next three (3) years.

- Project Title
- Agency's Project Number
- Project Value
- Delivery Method [DBB, DB, or GCCM- either actual or as-planned]

• Whether or not project data has been entered into the CPARB Data Collection System? (RCW 39.10.,320 and .350) [Yes or No; if No, why not?]

• Is the project complete [Yes or No]

*Please Note the online Project Data Collection survey was suspended, so *No new entries have been entered.

	Project		Delivery	Project	Project
Project Name	Number	Budget	Method	Entered	Complete
West Campus Parking Garage	10164	\$ 17.9 M	D-B-B	*No	Yes
MHSC H-Wing Renovation	10402	\$ 43.2 M	GCCM	*No	Yes
Guggenheim Hall Renovation	10419	\$ 28.3 M	D-B-B	*No	Yes
Architecture Hall Renovation	10420	\$ 24.5 M	GCCM	*No	Yes
Emergency Power Phase 2	10451	\$ 6.7 M	D-B-B	*No	Yes
William H Phillip Hall (UW Tacoma)	10686	\$ 12.1 M	D-B-B	Yes	Yes
UW Tacoma Ph 3	200636	\$ 54.3 M	GCCM	Yes	Yes
ICA Graves Annex Addition	200673	\$ 5.7 M	D-B-B	Yes	Yes
Washington Dental Service Bldg for Early		\$ 19.8 M	CCCM	Yes	Yes
Childhood Oral Health	200786	2 19.0 IVI	GCCM	Tes	Tes
Clark Hall Renovation	200910	\$ 18.1 M	GCCM	Yes	Yes
Savery Hall Renovation	200911	\$ 61.2 M	GCCM	Yes	Yes
Playhouse Theatre Renovation	200912	\$ 9.9 M	D-B-B	Yes	Yes
Tower Data Center	201189	\$ 32.5 M	GCCM	*No	Yes
UWMC Expansion Phase 1	201385	\$210.3 M	GCCM	Yes	Yes
HUB Renovation/Expansion	201638	\$128.3 M	GCCM	Yes	Yes
Ethnic Cultural Center Expansion	201725	\$ 15.5 M	D-B-B	Yes	Yes
Foster School of Business Phase 1 - PACCAR Hall	201837	\$95.0 M	GCCM	Yes	Yes

Foster School of Business Phase 2 - Balmer		\$ 46.3 M	GCCM	Yes	Yes
Hall	201838				
UWMC Radiation Oncology Renovation	201841	\$ 5.3 M	D-B-B	*No	Yes
ICA Husky Ballpark Design/Build	201866	\$ 17.5 M	Design/Build	*No	Yes
AAALAC/6th Floor	201987	\$ 13.9 M	GCCM	Yes	Yes
Molecular Engineering	201989	\$ 77.2 M	GCCM	Yes	Yes
Denny Hall Renovation	202039	\$ 52.9 M	GCCM	Yes	No
Lewis Hall Renovation	202040	\$ 25.1 M	GCCM	Yes	On hold
AAALAC/RR Wing	202043	\$ 14.9 M	GCCM	Yes	Yes
Intellectual House	202070	\$ 5.8 M	D-B-B	*No	No
UW Bothell Ph 3	202235	\$ 68.0 M	GCCM	*No	Yes
Hall Health Primary Care Center Clinical Units Remodel	202277	\$ 10.1 M	D-B-B	Yes	Yes
Institute for Advanced Materials & Tech TI	202509	\$ 6.1 M	D-B-B	*No	Yes
Applied Physics Lab TI	202510	\$ 8.7 M	D-B-B	*No	Yes
MHSC J-1/J-2 Microbiology Renovation	202696	\$ 23.5 M	GCCM	*No	Yes
Housing Phase 1 - New Residence Halls	202707	\$147.7 M	GCCM	Yes	Yes
Burke Museum Renovation	203007	\$ 75.0 M	GCCM	*No	No
Safe Campus Fire & Life Safety	203064	\$ 8.0 M	Design/Build	*No	Yes
Smart Grid ESCO Project	203138	\$ 10.1 M	ESCO	*No	Yes
Housing - Mercer Court	203247	\$118.0 M	GCCM	*No	Yes
HSC Roofing	203452	\$ 7.1 M	GCCM	*No	No
Housing - Maple & Terry Halls	203512	\$133.0 M	GCCM	*No	No
Lander Hall Replacement - Student		ć 77 O M	CCCM	*N.	Vec
Housing Phase 3	203518	\$ 77.0 M	GCCM	*No	Yes
Montlake Triangle	203593	\$ 25.9 M	GCCM	*No	No
UW Police Department Facility	203612	\$ 19.5 M	GCCM	*No	No
Odegaard Undergraduate Learning Center	203742	\$ 16.9 M	GCCM	*No	Yes
Burke-Gilman Trail Corridor Ph 1	203801	\$ 8.3 M	D-B-B	*No	No
UW Bothell Student Activity Center	203835	\$ 18.8 M	GCCM	*No	No

Fluke Hall Renovation	203880	\$ 28.5 M	GCCM	*No	No
Animal Research & Care Facility	203928	\$124.4 M	GCCM	*No	No
UWMC Expansion Phase 2 - Montlake		\$186.3 M	CCCM	*No	No
Tower	204110	\$180.3 IVI	GCCM	NO	No
UWT University Y	204286	\$ 20.0 M	Design/Build	*No	No
West Campus Utility Plant	204685	\$30.5 M	Design/Build	*No	No
UW Tacoma Urban Solutions Center	204701	\$ 18.0 M	GCCM	*No	No
Life Science Building	204746	\$160.5 M	GCCM	*No	No
UW Tacoma Tioga McDonald & Smith		Ċ FOM	Decise / Duild	*No	Ne
Building Renovations	204814	\$ 5.0 M	Design/Build	*No	No
Nano Engineering Research Building	204878	\$ 53.0 M	GCCM	*No	No
Future Planned					
Student Housing - North Campus	203815	\$180.0 M	GCCM	*No	No
Burke Gilman Trail Ph 2	203801	\$ 20.0 M	D-B-B	*No	No
Life Sciences Building	204746	\$160.5 M	GCCM	*No	No