

FISCAL YEAR 2020 TORT CLAIM INDEMNITY PAYOUT ANALYSIS

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October 2, 2020

Statewide Indemnity Payouts for Fiscal Years 2013-2019

This data includes WSDOT Marine Division Payouts which are reimbursed to the self-insurance fund and excludes UW and Excess Insurance refunds.

The table below contains payout dollars for the top four agencies with the highest indemnity payouts. It also includes indemnity payout dollars for all other agencies combined.

| | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 | FY 2020 |
|------------|------------|------------|------------|-------------|-------------|------------|-------------|-------------|
| DOC | 25,195,682 | 15,999,304 | 5,665,919 | 9,978,979 | 14,234,869 | 6,734,919 | 2,561,134 | 7,309,248 |
| DCYF | 0 | 0 | 0 | 0 | 0 | 0 | 84,231,789 | 72,203,621 |
| DOT | 4,237,470 | 9,439,229 | 14,352,216 | 64,311,819 | 6,129,090 | 15,254,486 | 56,987,071 | 28,593,397 |
| DSHS | 28,792,362 | 17,735,832 | 27,394,840 | 21,894,312 | 37,262,249 | 42,910,700 | 13,965,032 | 11,810,843 |
| All Others | 7,320,544 | 5,314,248 | 12,090,114 | 6,607,157 | 76,159,009 | 7,571,290 | 21,260,749 | 12,808,066 |
| Totals | 65,546,058 | 48,488,613 | 59,503,089 | 102,792,268 | 133,785,218 | 72,471,394 | 179,005,775 | 132,725,174 |

Summary: Total statewide indemnity payouts for fiscal years 2013 through 2015 remained relatively flat. There was a spike in fiscal years 2016 and 2017 with a claim settlement related to a 2014 landslide accounting for 51.18 million dollars of the 2017 fiscal year indemnity payout. In this claim, the state's insurance carrier contributed 40 million dollars toward the claim. Fiscal year 2018 saw a 47 percent decrease in indemnity payout amounts. Fiscal year 2019 resulted in a considerable increase due to two claims. The first was a vehicle accident claim settled for 29 million dollars of which the state's insurance carrier contributed 16.5 million dollars toward the claim. The second was a landslide claim from 2014 settled for 11.87 million dollars of which the state's insurance carrier contributed the full amount, 11.87 million dollars. Fiscal year 2020 resulted in a 26% decrease in total indemnity payout amount.

Fiscal Year 2020 Indemnity Payout Distribution

The table below contains fiscal year 2020 payout dollars for the top four agencies with the highest indemnity payouts. It also includes payout dollars for all other agencies combined.

This data includes WSDOT Marine Division Payouts which are reimbursed to the self-insurance fund and excludes UW and excess insurance refunds.

| | FY 2020 |
|------------|-------------|
| DOC | 7,309,248 |
| DCYF | 72,203,621 |
| DOT | 28,593,397 |
| DSHS | 11,810,843 |
| All Others | 12,808,066 |
| Total | 132,725,174 |

DCYF contributed to 54 percent of the total fiscal year 2020 total indemnity payout amount. Respectively, DOT contributed 22 percent, DSHS 9 percent, DOC 5 percent and all other agencies combined 10 percent.

All Other State Agency Indemnity Payouts for Fiscal Years 2013-2020

| FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 | FY 2020 |
|-----------|-----------|------------|-----------|------------|-----------|------------|------------|
| 7,320,544 | 5,314,248 | 12,090,114 | 6,607,157 | 76,159,009 | 7,571,290 | 21,260,749 | 12,808,066 |

Summary: There was a spike in fiscal year 2017. Of the fiscal year 2017 40 million dollar payout, a WSP class action settlement accounts for 13 million dollars, a Board of Pilotage Commissioners settlement accounts for 6.1 million dollars and a discovery sanction against the AGO accounts for 1.2 million dollars. Fiscal year 2018 saw a 65 percent decrease in payout amount. There was a spike in fiscal year 2019 and 40 percent decrease in fiscal year 2020 in total payout amounts.

Fiscal Year 2020 Frequency / Severity

86 percent of event payouts are less than 100,000 dollars each and make up 3 percent of total dollars paid out for indemnities. The remaining 14 percent of event payouts make up 97 percent of total dollars paid out for indemnities.

| FY2020 | Total # of Events | Total Payouts |
|------------------|-------------------|----------------------|
| Events < \$100K | 548 | 4,021,912 |
| Events >= \$100K | 90 | 128,703,262 |

Summary: Only a few events resulting in claims paid are greater than 100,000 dollars and most of the events resulting in claims paid are for less than 100,000 dollars.

Tort Indemnity Payouts for Fiscal Years 2013-2020 by Event

Large payouts result from a small number of events

This data includes WSDOT Marine Division Payouts which are reimbursed to the self-insurance fund and excludes UW and excess insurance refunds.

| Events > \$100K Events < \$100K Total FY2013 FY2014 Events > \$100K Events < \$100K Total FY2014 FY2015 Events > \$100K Events < \$100K Total FY2015 | 3 45 48 WSP 1 36 37 WSP 2 | 3,227,000 565,894 3,792,894 Amount 370,001 279,557 649,558 Amount | 85% 15% Percent 57% 43% | 18 63 81 DSHS 14 56 70 | 28,230,000 562,362 28,792,362 Amount 17,285,641 450,191 17,735,832 | 98% 2% Percent 97% 3% | 11 229 240 DOC 10 186 | 24,685,736 509,946 25,195,682 Amount 15,523,181 | 98% 2% Percent | 9 205 214 | 3,361,612 875,859 4,237,470 Amount | 79% 21% | 8 51 59 | 2,147,620 1,381,265 3,528,885 Amount | 61% 39% |
|--|---|--|-------------------------------------|---|--|-----------------------------------|---|--|------------------------|--|--|-----------------------------------|---|---|-------------------------------------|
| FY2014 Events > \$100K Events < \$100K Total FY2014 FY2015 Events > \$100K Events < \$100K | 48 WSP 1 36 37 WSP | 3,792,894 Amount 370,001 279,557 649,558 Amount | Percent 57% 43% | 81 DSHS 14 56 70 | 28,792,362 Amount 17,285,641 450,191 | Percent 97% | 240 DOC 10 | 25,195,682 Amount | | 214 | 4,237,470 | | 59 | 3,528,885 | |
| FY2014 Events > \$100K Events < \$100K Total FY2014 FY2015 Events > \$100K Events < \$100K | WSP 1 36 37 WSP | Amount 370,001 279,557 649,558 Amount | 57% 43% | DSHS 14 56 70 | Amount 17,285,641 450,191 | 97% | DOC 10 | Amount | Percent | | | Percent | | | Davisant |
| Events > \$100K Events < \$100K Total FY2014 FY2015 Events > \$100K Events < \$100K | 1 36 37 WSP | 370,001 279,557 649,558 Amount | 57% 43% | 14 56 70 | 17,285,641 450,191 | 97% | 10 | | Percent | DOT | Amount | Percent | All Others | Amount | Danasak |
| Events < \$100K Total FY2014 FY2015 Events > \$100K Events < \$100K | 36 37 WSP | 279,557 649,558 Amount | 43% | 56 70 | 450,191 | | | 15 522 181 | | | | | All Others | 7111104111 | Percent |
| Total FY2014 FY2015 Events > \$100K Events < \$100K | 37 WSP | 649,558 Amount | | 70 | • | 3% | 106 | 13,323,101 | 97% | 6 | 8,425,000 | 89% | 13 | 3,488,818 | 75% |
| FY2015 Events > \$100K Events < \$100K | WSP | Amount | Percent | | 17,735,832 | | | 476,123 | 3% | 194 | 1,014,229 | 11% | 40 | 1,175,873 | 25% |
| Events > \$100K Events < \$100K | | | Percent | DCHC | | | 196 | 15,999,304 | | 200 | 9,439,229 | | 53 | 4,664,690 | |
| Events < \$100K | 2 | F 272 077 | | D2H2 | Amount | Percent | DOC | Amount | Percent | DOT | Amount | Percent | All Others | Amount | Percent |
| | | 5,272,877 | 96% | 12 | 26,733,397 | 98% | 5 | 5,013,910 | 88% | 16 | 12,861,588 | 90% | 17 | 5,170,221 | 78% |
| 10141 51/015 | 36 38 | 211,669 | 4% | 48 60 | 661,443 | 2% | 178 183 | 652,009 5,665,919 | 12% | 215 231 | 1,490,628 | 10% | 45 62 | 1,435,347 | 22% |
| | | 5,484,546 | | | 27,394,840 | | | | | | 14,352,216 | | _ | 6,605,568 | |
| FY2016 | WSP | Amount | Percent | DSHS | Amount | Percent | DOC | Amount | | DOT | Amount | | All Others | Amount | Percent |
| Events > \$100K | 3 | 665,000 | 60% | 24 | 21,184,206 | 97% | 9 | 9,425,000 | 94% | 13 | 63,027,201 | 98% | 12 | 3,798,515 | 69% |
| Events < \$100K Total FY2016 | 48 51 | 434,895 1,099,895 | 40% | 71 95 | 710,106 21,894,312 | 3% | 220 229 | 553,979 9,978,979 | 6% | 193 206 | 1,284,619 64,311,819 | 2% | 43 55 | 1,708,748 5,507,263 | 31% |
| | | | | | | | | | | | | | | | |
| FY2017 | DNR | Amount | Percent | DSHS | Amount | Percent | DOC | | Percent | DOT | Amount | | All Others | Amount | |
| Events > \$100K | 21 | 36,648,745 | 98% | 10 | 13,751,530 | 97% | 9 | 4,942,459 | 81% | 1 | 50,000,000 | 100% | 13 | 23,863,222 | 92% |
| Events < \$100K Total FY2017 | 70 91 | 613,505 37,262,249 | 2% | 197 207 | 483,339 14,234,869 | 3% | 238 247 | 1,186,631 6,129,090 | 19% | 9 10 | 87,041 50,087,041 | 0% | 44 57 | 2,208,745 26,071,968 | 8% |
| | | · · · · · | | | · · · · · | | | · · · | | | | | | 20,071,308 | |
| FY2018 | DNK | | | | Amount | Percent | DOC | Amount | Percenti | 13631 | | | | | |
| Fuents > \$100K | 2.2 | 12 076 610 | | 4 | E 022 720 | 0.00/ | 12 | 12 070 004 | 020/ | | Amount | | All Others | Amount | |
| Events > \$100K | 22 | 42,076,618 | 98% | 4 | 5,923,738 | 88% | 13 | 13,979,904 | 92% | 0 | 0 | 0% | 13 | 6,111,105 | 81% |
| Events > \$100K Events < \$100K Total FY2018 | 22 77 99 | 42,076,618 834,082 42,910,700 | 98% 2% | 4 177 181 | 5,923,738 811,181 6,734,919 | 88% 12% | 13 182 195 | 13,979,904 1,274,582 15,254,486 | 92% 8% | | | | | | |
| Events < \$100K Total FY2018 | 77 99 | 834,082 42,910,700 | 2% | 177 181 | 811,181 6,734,919 | 12% | 182 195 | 1,274,582 15,254,486 | 8% | 0 9 9 | 0 59,549 59,549 | 0% 100% | 13 43 56 | 6,111,105 1,400,637 7,511,741 | 81% 19% |
| Events < \$100K Total FY2018 | 77 99 DNR | 834,082 42,910,700 Amount | 2% Percent | 177 181 DSHS | 811,181 6,734,919 Amount | 12% Percent | 182 195 | 1,274,582 15,254,486 Amount | 8% Percent | 0 9 9 | 0 59,549 59,549 Amount | 0% 100% Percent | 13 43 56 All Others | 6,111,105 1,400,637 7,511,741 Amount | 81% 19% Percent |
| Events < \$100K Total FY2018 FY2019 Events > \$100K | 77 99 DNR 2 | 834,082 42,910,700 Amount 12,275,000 | 2% Percent 100% | 177 181 DSHS | 811,181 6,734,919 Amount 13,584,279 | Percent 97% | 182 195 DCYF 26 | 1,274,582 15,254,486 Amount 83,954,194 | 8% Percent 100% | 0 9 9 DOT | 0 59,549 59,549 Amount 56,124,708 | 0% 100% Percent 98% | 13 43 56 All Others 17 | 6,111,105 1,400,637 7,511,741 Amount 8,953,346 | 81% 19% Percent 78% |
| Events < \$100K Total FY2018 | 77 99 DNR | 834,082 42,910,700 Amount | 2% Percent | 177 181 DSHS | 811,181 6,734,919 Amount | 12% Percent | 182 195 | 1,274,582 15,254,486 Amount | 8% Percent | 0 9 9 | 0 59,549 59,549 Amount | 0% 100% Percent | 13 43 56 All Others | 6,111,105 1,400,637 7,511,741 Amount | 81% 19% Percent |
| Events < \$100K Total FY2018 FY2019 Events > \$100K Events < \$100K Total FY2017 | 77 99 DNR 2 11 13 | 834,082 42,910,700 Amount 12,275,000 28,348 12,303,348 | 2% Percent 100% 0 | 177 181 DSHS 6 42 48 | 811,181 6,734,919 Amount 13,584,279 380,753 13,395,032 | 12% Percent 97% 3% | 182 195 DCYF 26 21 47 | 1,274,582 15,254,486 Amount 83,954,194 277,595 84,231,789 | 8% Percent 100% 0 | 0 9 9 DOT 20 160 180 | 0 59,549 59,549 Amount 56,124,708 862,363 56,987,071 | 0% 100% Percent 98% 2 | 13 43 56 All Others 17 42 59 | 6,111,105 1,400,637 7,511,741 Amount 8,953,346 2,565,189 11,518,535 | 81% 19% Percent 78% 22% |
| Events < \$100K Total FY2018 FY2019 Events > \$100K Events < \$100K Total FY2017 FY2020 | 77 99 DNR 2 11 13 | 834,082 42,910,700 Amount 12,275,000 28,348 12,303,348 Amount | Percent 100% 0 | 177 181 DSHS 6 42 48 DSHS | 811,181 6,734,919 Amount 13,584,279 380,753 13,395,032 Amount | Percent 97% 3% Percent | 182 195 DCYF 26 21 47 | 1,274,582 15,254,486 Amount 83,954,194 277,595 84,231,789 Amount | Percent 100% 0 Percent | 0 9 9 9 DOT 20 160 180 | 0 59,549 59,549 Amount 56,124,708 862,363 56,987,071 Amount | 0% 100% Percent 98% 2 | 13 43 56 All Others 17 42 59 | 6,111,105 1,400,637 7,511,741 Amount 8,953,346 2,565,189 11,518,535 Amount | 81% 19% Percent 78% 22% |
| Events < \$100K Total FY2018 FY2019 Events > \$100K Events < \$100K Total FY2017 | 77 99 DNR 2 11 13 | 834,082 42,910,700 Amount 12,275,000 28,348 12,303,348 | 2% Percent 100% 0 | 177 181 DSHS 6 42 48 | 811,181 6,734,919 Amount 13,584,279 380,753 13,395,032 | 12% Percent 97% 3% | 182 195 DCYF 26 21 47 | 1,274,582 15,254,486 Amount 83,954,194 277,595 84,231,789 | 8% Percent 100% 0 | 0 9 9 DOT 20 160 180 | 0 59,549 59,549 Amount 56,124,708 862,363 56,987,071 | 0% 100% Percent 98% 2 | 13 43 56 All Others 17 42 59 | 6,111,105 1,400,637 7,511,741 Amount 8,953,346 2,565,189 11,518,535 | 81% 19% Percent 78% 22% |
| FY2018 | DNR | Amount | Percent | DSHS | Amount | Percent | DOC | · · · | Percent | DOT | | B 1 | | 20,071,308 | |

Statewide Tort Claim Filing for Fiscal Years 2013-2020

The table below contains the number of tort claims filed for fiscal year 2013 through 2020 for the top four agencies with the highest number of tort claims filed. It also includes the number of tort claims filed for all other agencies combined.

This data excludes UW, counties, cities, public school districts and non-state entities.

| | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 | FY2020 |
|------------|---------|---------|---------|---------|---------|---------|---------|--------|
| DOC | 1,664 | 1,536 | 1,459 | 1,533 | 1,104 | 1,138 | 1,106 | 1,042 |
| DOT | 753 | 822 | 958 | 1,008 | 1,010 | 1,004 | 1,040 | 845 |
| DSHS | 156 | 196 | 163 | 204 | 230 | 270 | 174 | 122 |
| DCYF | 0 | 0 | 0 | 0 | 0 | 0 | 132 | 172 |
| All Others | 472 | 513 | 603 | 607 | 551 | 635 | 531 | 490 |
| Totals | 3,045 | 3,067 | 3,183 | 3,352 | 2,895 | 3,047 | 2,983 | 2,671 |

Summary: There has been a steady decline of tort claims filed over the last eight fiscal years. Claim filings are declining due primarily to a decrease in non-personal property claims from incarcerated individuals. The decrease in fiscal year 2020 was primarily due to the COVID-19 economic shutdown.

Fiscal Year 2020 Tort Claim Filing by Investigative Office Assigned

There are four investigative offices. The table below shows the percentage of claims investigated by each office in fiscal year 2020. Fifty three percent of all tort claims filed in fiscal year 2020 were administratively handled (denied or settled) by the DES Office of Risk Management.

| | FY 2020 |
|-------------|---------|
| AGO Program | <1% |
| AGO Torts | 9% |
| DES/ORM | 53% |
| DOT | 31% |

Fiscal Years 2013-2020 Tort Claim Filing by Investigative Office Assigned

The table below shows which of the four investigative offices investigated what number of tort claims for fiscal years 2013 through 2020.

| | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 | FY2020 |
|------------------|---------|---------|---------|---------|---------|---------|---------|--------|
| AGO Program | 21 | 11 | 16 | 8 | 9 | 8 | 7 | 188 |
| AGO Torts | 394 | 486 | 357 | 466 | 398 | 486 | 483 | 257 |
| DES/ORM | 2,136 | 2,030 | 1,891 | 1,942 | 1,645 | 1,884 | 1,656 | 1,408 |
| DOT | 707 | 775 | 919 | 936 | 843 | 669 | 837 | 818 |
| Totals | 3,045 | 3,067 | 3,183 | 3,352 | 2,895 | 3,047 | 2,983 | 2,671 |

Distribution of DOC Claims filed for Fiscal Years 2013-2020

The table below contains the number of tort claims filed for fiscal years 2013 through 2020 by the categories of non-incarcerated, incarcerated personal property and incarcerated non-personal property.

| | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 | FY2020 |
|--|---------|---------|---------|---------|---------|---------|---------|--------|
| Non Incarcerated | 65 | 60 | 63 | 71 | 102 | 95 | 116 | 76 |
| Incarcerated Personal Property | 1,061 | 1,000 | 909 | 824 | 708 | 716 | 645 | 590 |
| Incarcerated Non- Personal Property | 538 | 476 | 487 | 638 | 294 | 327 | 345 | 376 |
| Totals | 1,664 | 1,536 | 1,459 | 1,533 | 1,104 | 1,138 | 1,106 | 1,042 |

Summary: DOC has seen a steady decline of tort claims filed over the last eight fiscal years. Non-personal property claims from incarcerated individuals decreased in fiscal year 2017 however have risen over the last two fiscal years.

DOC Indemnity Payouts for Fiscal Years 2013-2020

The table below contains the dollar amount of indemnity payouts for fiscal years 2013 through 2020 for the Washington State Department of Corrections.

| FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 | FY2020 |
|------------|------------|-----------|-----------|------------|-----------|-----------|-----------|
| 25,195,682 | 15,999,304 | 5,665,919 | 9,978,979 | 14,234,869 | 6,734,919 | 2,561,134 | 7,309,248 |

Summary: DOC saw a steady decline in the dollar amount of indemnity payouts from fiscal years 2012 through 2019 however, DOC saw a 65 percent increase in fiscal year 2020.

Distribution of DOT Claims filed for Fiscal Years 2013-2020

The table below contains the number of tort claims filed for fiscal years 2013 through 2020 by the categories of non-road construction maintenance claims and road construction maintenance claims.

This data excludes UW, counties, cities, public school districts and non-state entities.

| | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 | FY2020 |
|---------------------------|---------|---------|---------|---------|---------|---------|---------|--------|
| Non-road Construction | | | | | | | | |
| Maintenance Claims | 99 | 139 | 131 | 179 | 162 | 195 | 134 | 199 |
| Road Construction | | | | | | | | |
| Maintenance Claims | 547 | 592 | 720 | 739 | 749 | 690 | 820 | 646 |
| Totals | 646 | 731 | 851 | 918 | 911 | 885 | 954 | 845 |

Summary: DOT tort claims filed have remained relatively flat over fiscal years 2013 through 2020. Road construction maintenance personal property claims inflate the number of DOT claims.

Distribution of DCYF Claims filed for Fiscal Years 2013-2020

The table below contains the number of tort claims filed for fiscal years 2013 through 2020 by the categories of non-Children's Administration and Children's Administration.

| | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 | FY2020 |
|----------------------------------|---------|---------|---------|---------|---------|---------|---------|--------|
| Non Children's Administration | 16 | 26 | 18 | 17 | 32 | 18 | 27 | 27 |
| Children's Administration | 47 | 66 | 57 | 76 | 81 | 114 | 130 | 145 |
| Totals | 63 | 92 | 75 | 93 | 113 | 132 | 157 | 172 |

Summary: Non-Children's Administration tort claims have remained relatively flat since fiscal year 2013. Children's Administration tort claims have steadily increased over the last eight fiscal years.

DCYF Payout Amounts for Fiscal Years 2013-2020

The table below contains payout dollars for fiscal years 2013 through 2020 by the categories of non-Children's Administration and Children's Administration.

This data excludes UW, counties, cities, public school districts and non-state entities.

| | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 | FY2020 |
|----------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Non Children's | | | | | | | | |
| Administration | 529,514 | 948,625 | 978,356 | 164,690 | 155,331 | 2,861,932 | 4,554 | 1,011,759 |
| Children's | | | | | | | | |
| Administration | 24,112,191 | 16,364,831 | 23,442,525 | 16,069,135 | 34,210,194 | 36,420,092 | 84,231,153 | 71,191,862 |
| Totals | 24,641,705 | 17,313,456 | 24,420,881 | 16,233,825 | 34,365,525 | 39,282,024 | 84,235,707 | 72,203,621 |

Summary: DCYF Children's Administration payout dollars remained relatively flat over fiscal years 2013 through 2016. There has been a significant increase in payout dollars every year thereafter.

Employment Tort Claims Filed for Fiscal Years 2013-2020

The table below contains the number of employment tort claims filed for fiscal years 2013 through 2020 for the top four agencies with the highest number of employment tort claims filed. It also includes the number of employment tort claims filed for all other agencies combined.

| | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 | FY2020 |
|---------------|---------|---------|---------|---------|---------|---------|---------|--------|
| DOC | 13 | 13 | 5 | 11 | 12 | 12 | 20 | 5 |
| L&I | 3 | 1 | 2 | 2 | 2 | 3 | 6 | 5 |
| DSHS | 13 | 19 | 10 | 19 | 26 | 29 | 22 | 15 |
| Clark College | 1 | 0 | 0 | 0 | 2 | 4 | 1 | 6 |
| All Others | 30 | 39 | 43 | 51 | 44 | 63 | 84 | 60 |
| Totals | 60 | 72 | 60 | 83 | 86 | 111 | 133 | 91 |

Summary: Employment tort claims remained relatively flat through fiscal years 2013 through 2016. Fiscal years 2017 through 2019 saw a 37 percent increase while fiscal year 2020 saw a 32 percent decrease.

Employment Tort Claims Paid for Fiscal Years 2013-2020

The table below contains the number of employment tort claims paid for fiscal years 2013 through 2020 for the top four agencies with the highest number of employment tort claims paid. It also includes the number of employment tort claims paid for all other agencies combined.

| | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 | FY2020 |
|------------------|---------|---------|---------|---------|---------|---------|---------|--------|
| Bellevue College | 1 | 1 | 1 | 0 | 2 | 1 | 2 | 4 |
| DOT | 3 | 3 | 4 | 3 | 2 | 2 | 7 | 4 |
| DOC | 4 | 2 | 2 | 6 | 7 | 7 | 4 | 8 |
| DSHS | 15 | 3 | 8 | 16 | 18 | 11 | 6 | 13 |
| All Others | 19 | 11 | 26 | 27 | 34 | 23 | 39 | 23 |
| Totals | 42 | 20 | 41 | 52 | 63 | 44 | 58 | 52 |

Summary: Employment tort claims paid spiked in fiscal year 2017 otherwise they have remained relatively flat through fiscal year 2020.

Employment Indemnity Payouts by Agency for Fiscal Years 2013-2019

The table below contains payout dollars for the top four agencies with the highest employment indemnity payouts. It also includes employment indemnity payout dollars for all other agencies combined.

| | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 | FY 2020 |
|------------------|-----------|-----------|-----------|-----------|------------|-----------|-----------|-----------|
| Bellevue College | 80,000 | 113,627 | 87,000 | 0 | 96,000 | 24,000 | 1,160,000 | 819,801 |
| DOT | 282,706 | 50,691 | 1,886,588 | 330,000 | 645,765 | 37,000 | 2,957,395 | 420,963 |
| DSHS | 797,000 | 267,348 | 1,100,756 | 2,186,522 | 2,898,412 | 1,150,469 | 394,664 | 2,427,512 |
| DOC | 284,577 | 310,188 | 190,785 | 1,150,001 | 785,377 | 547,955 | 419,531 | 2,345,796 |
| All Others | 1,098,006 | 2,148,884 | 3,635,721 | 4,184,786 | 21,600,823 | 2,513,085 | 4,424,708 | 1,664,754 |
| Totals | 2,542,290 | 2,890,738 | 6,900,850 | 7,851,309 | 26,026,376 | 4,272,509 | 9,356,298 | 7,678,826 |

Summary: Dollars paid out on employment claims have significantly increased since fiscal year 2014. Of the fiscal year 2017 26 million dollar payout, a WSP class action settlement accounted for 13 million and a Board of Pilotage Commissioners settlement accounted for 6.1 million. Fiscal year 2018 saw an 83% reduction in dollars paid out while fiscal year 2019 saw a 55% increase over fiscal year 2018. Fiscal year 2020 saw a slight decrease in dollars paid out from fiscal year 2019.

Total AGO Defense Costs for Fiscal Year 2013-2020

| FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 | FY 2020 |
|------------|------------|------------|------------|------------|------------|------------|------------|
| 17,708,777 | 19,830,237 | 20,454,642 | 23,211,301 | 19,355,595 | 21,973,571 | 24,611,048 | 26,626,386 |

Summary: AGO defense costs have steadily risen over the last eight years. Total defense costs for fiscal years 2013 through 2020 total 174,567,416 dollars.

Fiscal Year 2020 July 1, 2019 – June 30, 2020 Highest Tort Indemnity Payouts

Washington State Department of Children, Youth and Families Claims and Litigation

| Claim: | 30071095 |
|-------------------|-------------|
| Claimants: | S. S. |
| | P. S. |
| Date of Incident: | 04/01/12 |
| Date Claim Filed: | 10/10/13 |
| Indemnity Paid: | \$1,187,691 |

Plaintiffs, on behalf of their three minor children, alleged that DCYF knew of, and failed to disclose, their adoptive son's extensive history of sexual abuse and assaultive behavior in previous foster homes. Plaintiffs alleged that their children were sexually assaulted by the adopted son, forcing plaintiffs to move him to a separate residence to keep the other children safe. Plaintiffs claimed physical and emotional injuries, statutory and regulatory violations, punitive damages, negligent training and misrepresentation.

Washington State Department of Children, Youth and Families Claims and Litigation

| Claim: | 30770069, 30072065 30770017, 30770091 30072082, 30770093 30770064, 30770039 3071000831, 30770040 30770083 |
|-------------------|--|
| Claimants: | C. T. D. B. M. S. J. P. P. H. J. P. L. B. M. B. T. S. M. B. C. D |
| Date of Incident: | 1980 through 1992 |
| Date Claim Filed: | 2018 and 2019 |
| Indemnity Paid: | \$4,500,000 |

Plaintiffs were dependent youths who were placed at the Kiwanis Vocational Home in the 1980s and early 1990s. Plaintiffs claimed that DCYF failed to monitor the children placed in the facility despite multiple reports of physical and sexual assaults. Plaintiffs further claimed that, while they were residents of the facility, they suffered serious injury and damages after being sexually assaulted by other residents and by staff members.

| Claim: | 30071706 |
|-------------------|-------------|
| Claimants: | S. C. |
| | M. C. |
| Date of Incident: | 02/15/15 |
| Date Claim Filed: | 11/21/16 |
| Indemnity Paid: | \$1,500,000 |

Plaintiffs allege that a foster child, placed in their home, sexually assaulted all three of their biological children. Plaintiffs allege that DCYF failed to inform them of the foster child's history of sexually acting out. Plaintiffs further alleged that, due to DCYF's failure to disclose the foster child's history, they were unable to make an informed decision about the child's placement in their home. Plaintiffs allege physical, emotional and psychological injuries, loss of consortium, financial damages and attorney fees.

| Claim: | 30071955 |
|-------------------|-------------|
| Claimant: | M. J. |
| Date of Incident: | 01/29/89 |
| Date Claim Filed: | 11/15/17 |
| Indemnity Paid: | \$3,250,000 |
| | |

Plaintiff alleged that, for 12 years, she was subjected to physical, sexual, and emotional abuse by her biological father. Plaintiff further alleged that DCYF knew that her father was a drug addict, abusive and violent, and an unfit parent, but failed to investigate. Plaintiff claimed damages for physical, emotional, and psychological injuries, loss of enjoyment of life, past and future medical expenses, negligent investigation, wrongful placement, failure to remove and attorney fees.

| Claim: | 30071973 |
|-------------------|-------------|
| Claimants: | C. G. |
| Date of Incident: | 01/01/78 |
| Date Claim Filed: | 12/04/17 |
| Indemnity Paid: | \$1,500,000 |
| | |

Plaintiff alleged that, when she was five years old in 1977, DCYF negligently placed her with a foster parent who sexually abused her for five years. Plaintiff claimed severe emotional and physical injuries, negligent investigation and wrongful placement.

| Claimant: J. S. |
|------------------------------------|
| Date of Incident: 01/01/78 |
| Date Claim Filed: 01/09/18 |
| Indemnity Paid: \$1,500,000 |

Plaintiff alleged that DCYF negligently placed him in a foster home where he was sexually abused for six years. Plaintiff claimed emotional and psychological injuries and financial damages.

| Claim: | 30072047 |
|-------------------|-------------|
| Claimant: | D. G. |
| Date of Incident: | 04/01/12 |
| Date Claim Filed: | 03/09/18 |
| Indemnity Paid: | \$4,000,000 |
| | |

Plaintiff alleged DCYF negligently placed plaintiff in a foster home where they knew or should have known that another foster child in the home had a known history of troubling behavior. Plaintiff alleges that the other foster child repeatedly sexually and physically abused plaintiff. Plaintiff claimed emotional, psychological and financial damages.

| Claim: | 30072048 |
|-------------------|----------------|
| Claimants: | B. B. J. B. |
| Date of Incident: | 09/01/07 |
| Date Claim Filed: | 03/08/18 |
| Indemnity Paid: | \$3,800,000 |

Plaintiffs alleged that DCYF negligently placed them in foster care where they were sexually abused and raped. Plaintiffs alleged DCYF knew or should have known that the foster father had a criminal history of sexually abusing children and negligently investigated plaintiffs' biological father's suspicions that the foster father was sexually abusing his children. Plaintiffs claimed emotional, physical, and psychological injuries and financial damages.

| Claim: | 30072083 |
|-------------------|-------------------------|
| Claimant: | H. W. A. W. B. W. |
| Date of Incident: | 04/09/15 |
| Date Claim Filed: | 05/03/18 |
| Indemnity Paid: | \$7,200,000 |

PI Plaintiffs alleged DCYF negligently investigated allegations of abuse and failed to exercise ordinary care in protecting them. Plaintiffs claimed emotional, physical, psychological and financial damages.

| Claim: | 30770001 |
|-------------------|-------------|
| Claimant: | C. W. |
| Date of Incident: | 06/06/13 |
| Date Claim Filed: | 07/19/18 |
| Indemnity Paid: | \$3,500,000 |

Plaintiff alleged that DCYF negligently placed her in the custody of her biological father, who subjected her to prolonged and continuous sexual abuse and assault. Plaintiff alleged damages arising from physical, emotional and psychological injuries.

| Claim: | 30770029 |
|-------------------|-------------|
| Claimants: | N. U. |
| Date of Incident: | 01/01/89 |
| Date Claim Filed: | 09/06/18 |
| Indemnity Paid: | \$1,687,500 |

Plaintiff alleged that DCYF failed to remove him from his biological father's abusive home. Plaintiff further alleged that after his father was placed on community supervision/probation after being found guilty of 4th degree assault of a minor, he continued to abuse plaintiff.

Plaintiff claimed emotional, psychological and financial damages.

| Claim: | 30770079 |
|-------------------|-------------|
| Claimant: | R. M. |
| | E. L. |
| Date of Incident: | 08/30/09 |
| Date Claim Filed: | 01/15/19 |
| Indemnity Paid: | \$3,500,000 |

Plaintiffs alleged DCYF failed to investigate dozens of reports of abuse and neglect directed toward them by their mother resulting in severe physical, psychological and sexual abuse. Plaintiffs claimed physical, emotional, psychological and financial damages.

| Claim: | 30770098 |
|-------------------|-------------|
| Claimants: | L. B. |
| | W. C. |
| Date of Incident: | 10/28/81 |
| Date Claim Filed: | 03/19/19 |
| Indemnity Paid: | \$2,000,000 |
| | |

Plaintiffs allege DCYF negligently placed them into a foster home where, for years, they were sexually, physically and emotionally abused.

Plaintiffs alleged DCYF failed to properly train staff, monitor the home and investigate reported incidents. Plaintiffs claimed emotional, physical, and psychological injuries and financial damages.

| Claim: | 3071000108 |
|-------------------|---|
| Claimants: | K. T. J. T. C. T. C. T. R. L. |
| Date of Incident: | 04/19/96 |
| Date Claim Filed: | 07/22/19 |
| Indemnity Paid: | \$11,000,000 |

Five plaintiffs alleged they were subjected to physical, sexual and emotional abuse by their biological parents. The plaintiffs claimed that DCYF failed to properly investigate referrals and properly assess prior evidence of abuse and neglect. Plaintiffs claimed damages for physical, sexual and emotional abuse as well as financial damages.

Washington State Department of Natural Resources Claims and Litigation

| Claim: | 49070712 |
|--------|-------------|
| 01-1 | D: 1 OL : 1 |

| Claimant: | Ripley, Christopher |
|-------------------|---------------------|
| Date of Incident: | 08/30/17 |
| Date Claim Filed: | 02/16/18 |
| Indemnity Paid: | \$5,000,000 |

Plaintiff was a motorcyclist traveling on State Route 58 near Pleasant Hill Oregon. An employee of the Washington Department of Natural Resources, working in Oregon, pulled out in front of the plaintiff's vehicle causing an accident. Plaintiff sought recovery for severe injuries suffered in the accident.

Washington State Department of Social and Health Services Claims and Litigation

| Claim: | 30052265 |
|-------------------|-----------------|
| Claimant: | Smith, Kathleen |
| Date of Incident: | 03/21/06 |
| Date Claim Filed: | 03/18/09 |
| Indemnity Paid: | \$2,250,000 |

Plaintiff was a resident of Lakeland Village Residential Habilitation Center—a DSHS facility for developmentally disabled adults in Spokane County. Ms. Smith drowned in a bathtub while under the supervision of facility staff. Her estate claimed damages for wrongful death due to negligent supervision

| Claim: | 30071685 |
|-------------------|-------------------|
| Claimant: | McDermond, Joseph |
| Date of Incident: | 03/25/16 |
| Date Claim Filed: | 10/18/16 |
| Indemnity Paid: | \$4,255,000 |
| | |

Plaintiff is a wheelchair-bound, developmentally disabled resident of a state facility who fell off of a dock into a lake while on a supervised recreational outing. Plaintiff claimed damages for injuries suffered in the incident.

Washington State Department of Transportation Claims and Litigation

| Claim: | 40578917 |
|---|----------|
| • · • · · · · · · · · · · · · · · · · · | 10010011 |

| Claimant: | Moniz, Emelita | |
|-------------------|----------------|--|
| Date of Incident: | 09/26/16 | |
| Date Claim Filed: | 08/17/17 | |
| Indemnity Paid: | \$1,596,874 | |

Plaintiff, a Washington State Ferries employee, alleged she was descending a stairway on the ferry Hyak when her heel missed a step causing her to fall and fracture her right femur and injure her right knee. Plaintiff alleged she fell because of poor lighting and also because the stairway treads were too narrow. Plaintiff claimed psychological and physical injuries, wage loss and financial damages

| Claim: | nim: 40579132 | | |
|-------------------|----------------------|--|--|
| Claimants: | Sharkey, Christopher | | |
| Date of Incident: | 09/20/16 | | |
| Date Claim Filed: | 10/30/17 | | |
| Indemnity Paid: | \$17,500,000 | | |

Plaintiff was rear-ended by the driver of a large truck traveling behind plaintiff in the far left lane on northbound I-5 near the Mercer Street exit, when traffic came to an abrupt stop. Plaintiff alleged the Department of Transportation should have posted advance warning signs in the Mercer Street exit area. Plaintiff claimed catastrophic injuries, emotional trauma, medical expenses, wage loss, property damage and loss of consortium.

Plaintiff alleged that the Department of Transportation failed to provide a reasonably safe, properly-functioning intersection and traffic signal system, causing a rear end collision that severely injured her. Plaintiff claimed physical, emotional and financial damages.

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Washington State University Claims and Litigation

| Claim: | 36570322 |
|-------------------|--|
| Claimants: | Armon, Sarah J on behalf of 33,303 claimants |
| Date of Incident: | 06/09/17 |
| Date Claim Filed: | 07/06/17 |
| Indemnity Paid: | \$1,488,644 |

Plaintiffs alleged that, after a Washington State University hard drive containing their personal information was stolen, they were subjected to identify theft.

Washington State Department of Corrections and Department of Children, Youth and Families Claims and Litigation

| Claim: | 31086528 |
|-------------------|-----------|
| Claimants: | N. U. |
| Date of Incident: | 01/01/89 |
| Date Claim Filed: | 09/06/18 |
| Indemnity Paid: | \$187,500 |

Plaintiff alleged that DCYF failed to remove him from his biological father's abusive home. Plaintiff further alleged that after his father was placed on community supervision/probation after being found guilty of 4th degree assault of a minor, DOC was negligent and his father continued to abuse plaintiff. Plaintiff claimed emotional, psychological and financial damages

Washington State Department of Corrections Claims and Litigation

| Claim: | 31084196 |
|-------------------|-------------|
| Claimants: | K. P. |
| Date of Incident: | 01/01/00 |
| Date Claim Filed: | 08/05/16 |
| Indemnity Paid: | \$1.500.000 |

Plaintiff, a Corrections Officer 2 at Stafford Creek Corrections Center, alleged she had been subjected to gender discrimination, sexual innuendo and misconduct, and sexist remarks, gestures, and overtures in her workplace. Plaintiff further alleged that DOC failed to take prompt remedial action to correct the behavior and that she was been retaliated against for filing reports of violations, infractions, and gender discrimination and harassment. Plaintiff claimed emotional and psychological injuries and financial damages.

| Claim: | 31086954 |
|-------------------|-------------|
| Claimants: | L. B. |
| Date of Incident: | 09/01/12 |
| Date Claim Filed: | 01/15/19 |
| Indemnity Paid: | \$1,700,000 |

Plaintiff, who was a minor at the time of the incident, alleged she was raped and sexually assaulted by Jethro Butcher. At the time, Mr. Butcher was under the supervision of the Department of Corrections and had been given a job working with youth. Plaintiff claimed damages for emotional and physical injuries as well as financial damages.