
BILL REQUEST - CODE REVISER'S OFFICE

BILL REQ. #: S-0102.1/19

ATTY/TYPIST: AI:akl

BRIEF DESCRIPTION: Concerning design-build contracts.

1 AN ACT Relating to design-build contracts; amending RCW
2 39.10.250, 39.10.270, 39.10.300, 39.10.330, and 39.10.470; and
3 reenacting and amending RCW 39.10.210 and 42.56.270.

4 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:

5 **Sec. 1.** RCW 39.10.210 and 2014 c 42 s 1 are each reenacted and
6 amended to read as follows:

7 Unless the context clearly requires otherwise, the definitions in
8 this section apply throughout this chapter.

9 (1) "Alternative public works contracting procedure" means the
10 design-build, general contractor/construction manager, and job order
11 contracting procedures authorized in RCW 39.10.300, 39.10.340, and
12 39.10.420, respectively.

13 (2) "Board" means the capital projects advisory review board.

14 (3) "Certified public body" means a public body certified to use
15 design-build or general contractor/construction manager contracting
16 procedures, or both, under RCW 39.10.270.

17 (4) "Committee," unless otherwise noted, means the project review
18 committee.

19 (5) "Design-build procedure" means a contract between a public
20 body and another party in which the party agrees to both design and

1 build the facility, portion of the facility, or other item specified
2 in the contract.

3 (6) "Disadvantaged business enterprise" means any business entity
4 certified with the office of minority and women's business
5 enterprises under chapter 39.19 RCW.

6 (7) "General contractor/construction manager" means a firm with
7 which a public body has selected to provide services during the
8 design phase and negotiated a maximum allowable construction cost to
9 act as construction manager and general contractor during the
10 construction phase.

11 (8) "Heavy civil construction project" means a civil engineering
12 project, the predominant features of which are infrastructure
13 improvements.

14 (9) "Job order contract" means a contract in which the contractor
15 agrees to a fixed period, indefinite quantity delivery order contract
16 which provides for the use of negotiated, definitive work orders for
17 public works as defined in RCW 39.04.010.

18 (10) "Job order contractor" means a registered or licensed
19 contractor awarded a job order contract.

20 (11) "Maximum allowable construction cost" means the maximum cost
21 of the work to construct the project including a percentage for risk
22 contingency, negotiated support services, and approved change orders.

23 (12) "Negotiated support services" means items a general
24 contractor would normally manage or perform on a construction project
25 including, but not limited to surveying, hoisting, safety
26 enforcement, provision of toilet facilities, temporary heat, cleanup,
27 and trash removal, and that are negotiated as part of the maximum
28 allowable construction cost.

29 (13) "Percent fee" means the percentage amount to be earned by
30 the general contractor/construction manager as overhead and profit.

31 (14) "Price-related factor" means an evaluation factor that
32 impacts costs which may include, but is not limited to overhead and
33 profit, lump sum or guaranteed maximum price for the entire or a
34 portion of the project, operating costs, or other similar factors
35 that may apply to the project.

36 (15) "Public body" means any general or special purpose
37 government in the state of Washington, including but not limited to
38 state agencies, institutions of higher education, counties, cities,
39 towns, ports, school districts, and special purpose districts.

1 ((~~15~~)) (16) "Public works project" means any work for a public
2 body within the definition of "public work" in RCW 39.04.010.

3 ((~~16~~)) (17) "Small business entity" means a small business as
4 defined in RCW 39.26.010.

5 ((~~17~~)) (18) "Total contract cost" means the fixed amount for
6 the detailed specified general conditions work, the negotiated
7 maximum allowable construction cost, and the percent fee on the
8 negotiated maximum allowable construction cost.

9 ((~~18~~)) (19) "Total project cost" means the cost of the project
10 less financing and land acquisition costs.

11 ((~~19~~)) (20) "Unit price book" means a book containing specific
12 prices, based on generally accepted industry standards and
13 information, where available, for various items of work to be
14 performed by the job order contractor. The prices may include: All
15 the costs of materials; labor; equipment; overhead, including bonding
16 costs; and profit for performing the items of work. The unit prices
17 for labor must be at the rates in effect at the time the individual
18 work order is issued.

19 ((~~20~~)) (21) "Work order" means an order issued for a definite
20 scope of work to be performed pursuant to a job order contract.

21 **Sec. 2.** RCW 39.10.250 and 2013 c 222 s 5 are each amended to
22 read as follows:

23 The committee shall:

24 (1) Certify, or renew certification for, public bodies to use
25 design-build or general contractor/construction manager contracting
26 procedures, or both;

27 (2) Review and approve the use of the design-build or general
28 contractor/construction manager contracting procedures on a project
29 by project basis for public bodies that are not certified under RCW
30 39.10.270;

31 (~~(3) (Review and approve not more than fifteen projects using the~~
32 ~~design-build contracting procedure by noncertified public bodies for~~
33 ~~projects that have a total project cost between two million and ten~~
34 ~~million dollars. Projects must meet the criteria in RCW 39.10.300(1).~~
35 ~~Where possible, the committee shall approve projects among multiple~~
36 ~~public bodies. At least annually, the committee shall report to the~~
37 ~~board regarding the committee's review procedure of these projects~~
38 ~~and its recommendations for further use; and~~

1 ~~(4))~~ Review and approve not more than two design-build
2 demonstration projects that include procurement of operations and
3 maintenance services for a period longer than three years.

4 **Sec. 3.** RCW 39.10.270 and 2017 c 211 s 1 are each amended to
5 read as follows:

6 (1) A public body may apply for certification to use the design-
7 build or general contractor/construction manager contracting
8 procedure, or both. Once certified, a public body may use the
9 contracting procedure for which it is certified on individual
10 projects without seeking committee approval for a period of three
11 years. (~~Public bodies certified to use the design-build procedure~~
12 ~~are limited to no more than five projects with a total project cost~~
13 ~~between two and ten million dollars during the certification~~
14 ~~period.)) A public body seeking certification must submit to the
15 committee an application in a format and manner as prescribed by the
16 committee. The application must include a description of the public
17 body's qualifications, its capital plan during the certification
18 period, and its intended use of alternative contracting procedures.~~

19 (2) A public body seeking certification for the design-build
20 procedure must demonstrate successful management of at least one
21 design-build project within the previous five years. A public body
22 seeking certification for the general contractor/construction manager
23 procedure must demonstrate successful management of at least one
24 general contractor/construction manager project within the previous
25 five years.

26 (3) To certify a public body, the committee shall determine that
27 the public body:

28 (a) Has the necessary experience and qualifications to determine
29 which projects are appropriate for using alternative contracting
30 procedures;

31 (b) Has the necessary experience and qualifications to carry out
32 the alternative contracting procedure including, but not limited to:
33 (i) Project delivery knowledge and experience; (ii) personnel with
34 appropriate construction experience; (iii) a management plan and
35 rationale for its alternative public works projects; (iv)
36 demonstrated success in managing public works projects; (v) the
37 ability to properly manage its capital facilities plan including, but
38 not limited to, appropriate project planning and budgeting

1 experience; and (vi) the ability to meet requirements of this
2 chapter; and

3 (c) Has resolved any audit findings on previous public works
4 projects in a manner satisfactory to the committee.

5 (4) The committee shall, if practicable, make its determination
6 at the public meeting during which an application for certification
7 is reviewed. Public comments must be considered before a
8 determination is made. Within ten business days of the public
9 meeting, the committee shall provide a written determination to the
10 public body, and make its determination available to the public on
11 the committee's web site.

12 (5) The committee may revoke any public body's certification upon
13 a finding, after a public hearing, that its use of design-build or
14 general contractor/construction manager contracting procedures no
15 longer serves the public interest.

16 (6) The committee may renew the certification of a public body
17 for additional three-year periods. The public body must submit an
18 application for recertification at least three months before the
19 initial certification expires. The committee may accept late
20 applications, if administratively feasible, to avoid expiration of
21 certification on a case-by-case basis. The application shall include
22 updated information on the public body's experience and current
23 staffing with the procedure it is applying to renew, and any other
24 information requested in advance by the committee. The committee must
25 review the application for recertification at a meeting held before
26 expiration of the applicant's initial certification period. A public
27 body must reapply for certification under the process described in
28 subsection (1) of this section once the period of recertification
29 expires.

30 (7) Certified public bodies must submit project data information
31 as required in RCW 39.10.320 and 39.10.350.

32 **Sec. 4.** RCW 39.10.300 and 2013 c 222 s 9 are each amended to
33 read as follows:

34 (1) Subject to the requirements in RCW 39.10.250, 39.10.270, or
35 39.10.280, public bodies may utilize the design-build procedure,
36 including progressive design-build, for public works projects in
37 which the total project cost is over (~~ten~~) two million dollars and
38 where:

1 (a) The construction activities are highly specialized and a
2 design-build approach is critical in developing the construction
3 methodology; or

4 (b) The projects selected provide opportunity for greater
5 innovation or efficiencies between the designer and the builder; or

6 (c) Significant savings in project delivery time would be
7 realized.

8 (2) Subject to the process in RCW 39.10.270 or 39.10.280, public
9 bodies may use the design-build procedure for parking garages,
10 regardless of cost.

11 (3) The design-build procedure may be used for the construction
12 or erection of portable facilities as defined in WAC 392-343-018,
13 preengineered metal buildings, or not more than ten prefabricated
14 modular buildings per installation site, regardless of cost and is
15 not subject to approval by the committee.

16 (4) Except for utility projects and approved demonstration
17 projects, the design-build procedure may not be used to procure
18 operations and maintenance services for a period longer than three
19 years. State agency projects that propose to use the design-build-
20 operate-maintain procedure shall submit cost estimates for the
21 construction portion of the project consistent with the office of
22 financial management's capital budget requirements. Operations and
23 maintenance costs must be shown separately and must not be included
24 as part of the capital budget request.

25 ~~(5) ((Subject to the process in RCW 39.10.280, public bodies may~~
26 ~~use the design-build procedure for public works projects in which the~~
27 ~~total project cost is between two million and ten million dollars and~~
28 ~~that meet one of the criteria in subsection (1)(a), (b), or (c) of~~
29 ~~this section.~~

30 ~~(6))~~ Subject to the process in RCW 39.10.280, a public body may
31 seek committee approval for a design-build demonstration project that
32 includes procurement of operations and maintenance services for a
33 period longer than three years.

34 **Sec. 5.** RCW 39.10.330 and 2014 c 19 s 1 are each amended to read
35 as follows:

36 (1) Contracts for design-build services shall be awarded through
37 a competitive process using public solicitation of proposals for
38 design-build services. The public body shall publish at least once in
39 a legal newspaper of general circulation published in, or as near as

1 possible to, that part of the county in which the public work will be
2 done, a notice of its request for qualifications from proposers for
3 design-build services, and the availability and location of the
4 request for proposal documents. The request for qualifications
5 documents shall include:

6 (a) A ~~((general))~~ description of the project ~~((that provides
7 sufficient information for proposers to submit qualifications))~~
8 including the estimated design-build contract value and the intended
9 use of the project;

10 (b) The reasons for using the design-build procedure;

11 (c) A description of the qualifications to be required of the
12 proposer ~~((including, but not limited to, submission of the
13 proposer's accident prevention program));~~

14 (d) A description of the process the public body will use to
15 evaluate qualifications and finalists' proposals, including
16 evaluation factors and the relative weight of factors and any
17 specific forms to be used by the proposers;

18 (i) Evaluation factors for ~~((request for))~~ qualifications shall
19 include~~((, but not be limited to,))~~ technical qualifications, such as
20 specialized experience and technical competence of the firms and the
21 key design and construction personnel; ~~((capability))~~ capacity to
22 perform; ~~((past performance of the proposers' team, including the
23 architect-engineer and construction members))~~ ability to provide a
24 performance and payment bond for the project; and other appropriate
25 factors. Evaluation factors may also include~~((:(A)))~~, but are not
26 limited to, the proposer's past performance in utilization of small
27 business entities~~((:))~~ and ~~((B))~~ disadvantaged business
28 enterprises. Cost or price-related factors are not permitted in the
29 request for qualifications phase;

30 (ii) Evaluation factors for finalists' proposals shall include
31 the management plan to meet time and budget requirements and one or
32 more price-related factors. Evaluation factors may also include, but
33 not be limited to, ~~((the factors listed in (d)(i) of this subsection,~~
34 ~~as well as technical approach design concept; ability of professional~~
35 ~~personnel; past performance on similar projects; ability to meet time~~
36 ~~and budget requirements; ability to provide a performance and payment~~
37 ~~bond for the project; recent, current, and projected workloads of the~~
38 ~~firm; location; and cost or price-related factors that may include~~
39 ~~operating costs. The public body may also consider a proposer's))~~ the
40 technical approach, design concept, and the outreach plan to include

1 small business entities and disadvantaged business enterprises as
2 subconsultants, subcontractors, and suppliers for the project(~~(-~~
3 ~~Alternatively, if the public body determines that all finalists will~~
4 ~~be capable of producing a design that adequately meets project~~
5 ~~requirements, the public body may award the contract to the firm that~~
6 ~~submits the responsive proposal with the lowest price));~~

7 (e) Protest procedures including time limits for filing a
8 protest, which in no event may limit the time to file a protest to
9 fewer than four business days from the date the proposer was notified
10 of the selection decision;

11 (f) The ~~((form of the))~~ proposed contract ~~((to be awarded))~~;

12 (g) The honorarium to be paid to finalists submitting responsive
13 proposals and who are not awarded a design-build contract;

14 (h) The schedule for the procurement process and the project; and

15 (i) Other information relevant to the project.

16 (2) The public body shall establish an evaluation committee to
17 evaluate the responses to the request for qualifications based solely
18 on the factors, weighting, and process identified in the request for
19 qualifications and any addenda issued by the public body. Based on
20 the evaluation committee's findings, the public body shall select not
21 more than five responsive and responsible finalists to submit
22 proposals. The public body may, in its sole discretion, reject all
23 proposals and shall provide its reasons for rejection in writing to
24 all proposers.

25 (3) The public body must notify all proposers of the finalists
26 selected to move to the next phase of the selection process. The
27 process may not proceed to the next phase until two business days
28 after all proposers are notified of the committee's selection
29 decision. At the request of a proposer not selected as a finalist,
30 the public body must provide the requesting proposer with a scoring
31 summary of the evaluation factors for its proposal. Proposers filing
32 a protest on the selection of the finalists must file the protest in
33 accordance with the published protest procedures. The selection
34 process may not advance to the next phase of selection until two
35 business days after the final protest decision is transmitted to the
36 protestor.

37 (4) Upon selection of the finalists, the public body shall issue
38 a request for proposals to the finalists(~~(, which shall provide the~~
39 ~~following information)). The request for proposal documents shall
40 include:~~

1 (a) (~~(A detailed description of the project including~~
2 ~~programmatic, performance, and technical requirements and~~
3 ~~specifications; functional and operational elements; building~~
4 ~~performance goals and validation requirements; minimum and maximum~~
5 ~~net and gross areas of any building; and, at the discretion of the~~
6 ~~public body, preliminary engineering and architectural drawings; and~~

7 ~~(b) The target budget for the design-build portion of the~~
8 ~~project)) Any specific forms to be used by the finalists; and~~

9 (b) Submission of a summary of the finalist's accident prevention
10 program and an overview of its implementation.

11 (5) The public body shall establish an evaluation committee to
12 evaluate the proposals submitted by the finalists. (~~Design-build~~
13 ~~contracts shall be awarded using the procedures in (a) or (b) of this~~
14 ~~subsection. The public body must identify in the request for~~
15 ~~qualifications which procedure will be used.~~

16 ~~(a))~~ The finalists' proposals shall be evaluated and scored
17 based solely on the factors, weighting, and process identified in the
18 (~~initial~~) request for qualifications, the request for proposals,
19 and in any addenda published by the public body. Public bodies may
20 request best and final proposals from finalists. The public body may
21 initiate negotiations with the (~~firm~~) finalist submitting the
22 highest scored proposal. If the public body is unable to execute a
23 contract with the (~~firm~~) finalist submitting the highest scored
24 proposal, negotiations with that (~~firm~~) finalist may be suspended
25 or terminated and the public body may proceed to negotiate with the
26 next highest scored (~~firm~~) finalist. Public bodies shall continue
27 in accordance with this procedure until a contract agreement is
28 reached or the selection process is terminated.

29 (~~(b) If the public body determines that all finalists are~~
30 ~~capable of producing a design that adequately meets project~~
31 ~~requirements, the public body may award the contract to the firm that~~
32 ~~submits the responsive proposal with the lowest price.))~~

33 (6) The public body shall notify all finalists of the selection
34 decision and make a selection summary of the final proposals
35 available to all proposers within two business days of such
36 notification. If the public body receives a timely written protest
37 from a finalist firm, the public body may not execute a contract
38 until two business days after the final protest decision is
39 transmitted to the protestor. The protestor must submit its protest
40 in accordance with the published protest procedures.

1 (7) The firm awarded the contract shall provide a performance and
2 payment bond for the contracted amount.

3 (8) The public body shall provide appropriate honorarium payments
4 to finalists submitting responsive proposals that are not awarded a
5 design-build contract. Honorarium payments shall be sufficient to
6 generate meaningful competition among potential proposers on design-
7 build projects. In determining the amount of the honorarium, the
8 public body shall (~~consider~~) recognize the level of effort required
9 to meet the selection criteria.

10 **Sec. 6.** RCW 39.10.470 and 2014 c 19 s 2 are each amended to read
11 as follows:

12 (1) Except as provided in subsections (2) and (3) of this
13 section, all proceedings, records, contracts, and other public
14 records relating to alternative public works transactions under this
15 chapter shall be open to the inspection of any interested person,
16 firm, or corporation in accordance with chapter 42.56 RCW.

17 (2) Trade secrets, as defined in RCW 19.108.010, or other
18 proprietary information submitted by a bidder, offeror, or contractor
19 in connection with an alternative public works transaction under this
20 chapter shall not be subject to chapter 42.56 RCW if the bidder,
21 offeror, or contractor specifically states in writing the reasons why
22 protection is necessary, and identifies the data or materials to be
23 protected.

24 (3) (~~Proposals submitted by design-build finalists~~) All
25 documents related to a procurement under RCW 39.10.330 are exempt
26 from disclosure until the notification of the highest scoring
27 finalist is made in accordance with RCW 39.10.330(~~(+5)~~) (6) or the
28 selection process is terminated, except as expressly required under
29 RCW 39.10.330(3).

30 **Sec. 7.** RCW 42.56.270 and 2018 c 201 s 8008, 2018 c 196 s 21,
31 and 2018 c 4 s 9 are each reenacted and amended to read as follows:

32 The following financial, commercial, and proprietary information
33 is exempt from disclosure under this chapter:

34 (1) Valuable formulae, designs, drawings, computer source code or
35 object code, and research data obtained by any agency within five
36 years of the request for disclosure when disclosure would produce
37 private gain and public loss;

1 (2) Financial information supplied by or on behalf of a person,
2 firm, or corporation for the purpose of qualifying to submit a bid or
3 proposal for (a) a ferry system construction or repair contract as
4 required by RCW 47.60.680 through 47.60.750 (~~(e)~~); (b) highway
5 construction or improvement as required by RCW 47.28.070; or (c)
6 alternative public works contracting procedures as required by RCW
7 39.10.200 through 39.10.905;

8 (3) Financial and commercial information and records supplied by
9 private persons pertaining to export services provided under chapters
10 43.163 and 53.31 RCW, and by persons pertaining to export projects
11 under RCW 43.23.035;

12 (4) Financial and commercial information and records supplied by
13 businesses or individuals during application for loans or program
14 services provided by chapters 43.325, 43.163, 43.160, 43.330, and
15 43.168 RCW, or during application for economic development loans or
16 program services provided by any local agency;

17 (5) Financial information, business plans, examination reports,
18 and any information produced or obtained in evaluating or examining a
19 business and industrial development corporation organized or seeking
20 certification under chapter 31.24 RCW;

21 (6) Financial and commercial information supplied to the state
22 investment board by any person when the information relates to the
23 investment of public trust or retirement funds and when disclosure
24 would result in loss to such funds or in private loss to the
25 providers of this information;

26 (7) Financial and valuable trade information under RCW 51.36.120;

27 (8) Financial, commercial, operations, and technical and research
28 information and data submitted to or obtained by the clean Washington
29 center in applications for, or delivery of, program services under
30 chapter 70.95H RCW;

31 (9) Financial and commercial information requested by the public
32 stadium authority from any person or organization that leases or uses
33 the stadium and exhibition center as defined in RCW 36.102.010;

34 (10)(a) Financial information, including but not limited to
35 account numbers and values, and other identification numbers supplied
36 by or on behalf of a person, firm, corporation, limited liability
37 company, partnership, or other entity related to an application for a
38 horse racing license submitted pursuant to RCW 67.16.260(1)(b),
39 marijuana producer, processor, or retailer license, liquor license,
40 gambling license, or lottery retail license;

1 (b) Internal control documents, independent auditors' reports and
2 financial statements, and supporting documents: (i) Of house-banked
3 social card game licensees required by the gambling commission
4 pursuant to rules adopted under chapter 9.46 RCW; or (ii) submitted
5 by tribes with an approved tribal/state compact for class III gaming;

6 (11) Proprietary data, trade secrets, or other information that
7 relates to: (a) A vendor's unique methods of conducting business; (b)
8 data unique to the product or services of the vendor; or (c)
9 determining prices or rates to be charged for services, submitted by
10 any vendor to the department of social and health services or the
11 health care authority for purposes of the development, acquisition,
12 or implementation of state purchased health care as defined in RCW
13 41.05.011;

14 (12)(a) When supplied to and in the records of the department of
15 commerce:

16 (i) Financial and proprietary information collected from any
17 person and provided to the department of commerce pursuant to RCW
18 43.330.050(8); and

19 (ii) Financial or proprietary information collected from any
20 person and provided to the department of commerce or the office of
21 the governor in connection with the siting, recruitment, expansion,
22 retention, or relocation of that person's business and until a siting
23 decision is made, identifying information of any person supplying
24 information under this subsection and the locations being considered
25 for siting, relocation, or expansion of a business;

26 (b) When developed by the department of commerce based on
27 information as described in (a)(i) of this subsection, any work
28 product is not exempt from disclosure;

29 (c) For the purposes of this subsection, "siting decision" means
30 the decision to acquire or not to acquire a site;

31 (d) If there is no written contact for a period of sixty days to
32 the department of commerce from a person connected with siting,
33 recruitment, expansion, retention, or relocation of that person's
34 business, information described in (a)(ii) of this subsection will be
35 available to the public under this chapter;

36 (13) Financial and proprietary information submitted to or
37 obtained by the department of ecology or the authority created under
38 chapter 70.95N RCW to implement chapter 70.95N RCW;

39 (14) Financial, commercial, operations, and technical and
40 research information and data submitted to or obtained by the life

1 sciences discovery fund authority in applications for, or delivery
2 of, grants under chapter 43.350 RCW, to the extent that such
3 information, if revealed, would reasonably be expected to result in
4 private loss to the providers of this information;

5 (15) Financial and commercial information provided as evidence to
6 the department of licensing as required by RCW 19.112.110 or
7 19.112.120, except information disclosed in aggregate form that does
8 not permit the identification of information related to individual
9 fuel licensees;

10 (16) Any production records, mineral assessments, and trade
11 secrets submitted by a permit holder, mine operator, or landowner to
12 the department of natural resources under RCW 78.44.085;

13 (17)(a) Farm plans developed by conservation districts, unless
14 permission to release the farm plan is granted by the landowner or
15 operator who requested the plan, or the farm plan is used for the
16 application or issuance of a permit;

17 (b) Farm plans developed under chapter 90.48 RCW and not under
18 the federal clean water act, 33 U.S.C. Sec. 1251 et seq., are subject
19 to RCW 42.56.610 and 90.64.190;

20 (18) Financial, commercial, operations, and technical and
21 research information and data submitted to or obtained by a health
22 sciences and services authority in applications for, or delivery of,
23 grants under RCW 35.104.010 through 35.104.060, to the extent that
24 such information, if revealed, would reasonably be expected to result
25 in private loss to providers of this information;

26 (19) Information gathered under chapter 19.85 RCW or RCW
27 34.05.328 that can be identified to a particular business;

28 (20) Financial and commercial information submitted to or
29 obtained by the University of Washington, other than information the
30 university is required to disclose under RCW 28B.20.150, when the
31 information relates to investments in private funds, to the extent
32 that such information, if revealed, would reasonably be expected to
33 result in loss to the University of Washington consolidated endowment
34 fund or to result in private loss to the providers of this
35 information;

36 (21) Market share data submitted by a manufacturer under RCW
37 70.95N.190(4);

38 (22) Financial information supplied to the department of
39 financial institutions or to a portal under RCW 21.20.883, when filed
40 by or on behalf of an issuer of securities for the purpose of

1 obtaining the exemption from state securities registration for small
2 securities offerings provided under RCW 21.20.880 or when filed by or
3 on behalf of an investor for the purpose of purchasing such
4 securities;

5 (23) Unaggregated or individual notices of a transfer of crude
6 oil that is financial, proprietary, or commercial information,
7 submitted to the department of ecology pursuant to RCW
8 90.56.565(1)(a), and that is in the possession of the department of
9 ecology or any entity with which the department of ecology has shared
10 the notice pursuant to RCW 90.56.565;

11 (24) Financial institution and retirement account information,
12 and building security plan information, supplied to the liquor and
13 cannabis board pursuant to RCW 69.50.325, 69.50.331, 69.50.342, and
14 69.50.345, when filed by or on behalf of a licensee or prospective
15 licensee for the purpose of obtaining, maintaining, or renewing a
16 license to produce, process, transport, or sell marijuana as allowed
17 under chapter 69.50 RCW;

18 (25) Marijuana transport information, vehicle and driver
19 identification data, and account numbers or unique access identifiers
20 issued to private entities for traceability system access, submitted
21 by an individual or business to the liquor and cannabis board under
22 the requirements of RCW 69.50.325, 69.50.331, 69.50.342, and
23 69.50.345 for the purpose of marijuana product traceability.
24 Disclosure to local, state, and federal officials is not considered
25 public disclosure for purposes of this section;

26 (26) Financial and commercial information submitted to or
27 obtained by the retirement board of any city that is responsible for
28 the management of an employees' retirement system pursuant to the
29 authority of chapter 35.39 RCW, when the information relates to
30 investments in private funds, to the extent that such information, if
31 revealed, would reasonably be expected to result in loss to the
32 retirement fund or to result in private loss to the providers of this
33 information except that (a) the names and commitment amounts of the
34 private funds in which retirement funds are invested and (b) the
35 aggregate quarterly performance results for a retirement fund's
36 portfolio of investments in such funds are subject to disclosure;

37 (27) Proprietary financial, commercial, operations, and technical
38 and research information and data submitted to or obtained by the
39 liquor and cannabis board in applications for marijuana research
40 licenses under RCW 69.50.372, or in reports submitted by marijuana

1 research licensees in accordance with rules adopted by the liquor and
2 cannabis board under RCW 69.50.372;

3 (28) Trade secrets, technology, proprietary information, and
4 financial considerations contained in any agreements or contracts,
5 entered into by a licensed marijuana business under RCW 69.50.395,
6 which may be submitted to or obtained by the state liquor and
7 cannabis board; (~~and~~)

8 (29) Financial, commercial, operations, and technical and
9 research information and data submitted to or obtained by the Andy
10 Hill cancer research endowment program in applications for, or
11 delivery of, grants under chapter 43.348 RCW, to the extent that such
12 information, if revealed, would reasonably be expected to result in
13 private loss to providers of this information; and

14 (30) Proprietary information filed with the department of health
15 under chapter 69.48 RCW.

--- END ---